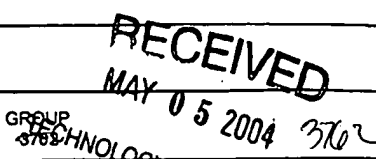
 <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>	ATTY. DOCKET NO. GLAUKO.010A	APPLICATION NO. 10/046,137
	APPLICANT Hoshen Tu, et al.	
	FILING DATE November 8, 2001	

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<i>Sub</i>	1	4,853,224	08-01-1989	V. Wong			
	2	4,883,864	11-28-1989	M. Scholz			
	3	4,997,652	03-05-1991	V. Wong			
	4	5,164,188	11-17-1992	V. Wong			
	5	5,443,505	08-22-1995	V. Wong, et al.			
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	7	5,824,072	10-20-1998	V. Wong			
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	11	6,331,313	12-18-2001	V. Wong, et al.			
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	13	6,416,777	07-09-2002	Y. Yaacobi			
	14	6,436,427	08-20-2002	J. Hammang, et al.			
<i>Sub</i>	15	6,548,078	04-15-2003	H. Guo, et al.			

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	16							
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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	FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. GLAUKO.010A	APPLICATION NO. 10/046,137
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
	FILING DATE November 8, 2001		GROUP ART UNIT 3738- 3762	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>QMB</i>	1	3,788,327	01-29-1974	Donowitz, et al.			
	2	4,168,697	09-25-1979	Cantekin			
	3	4,722,724	02-02-1988	Schocket			
	4	5,092,837	03-03-1992	Ritch, et al.			
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	6	5,472,440	12-05-1995	Beckman			
	7	5,830,139	11-03-1998	Abreu			
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	9	6,533,768 B1	03-18-2003	Richard A. Hill			
<i>QMB</i>	10	6,544,249 B1	04-08-2003	Yu, et al.			

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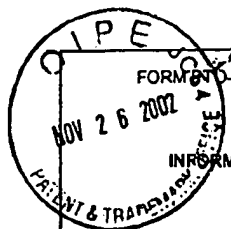
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<i>QMB</i>	12	WO 01/78656 A2	10-25-2001	PCT				
<i>QMB</i>	13	WO 03/015659 A2	02-27-2003	PCT				

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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
<i>QMB</i>	14	U.S. Patent Publication No. US 2002/0026200; publication date 02-28-2002; Inventor: Savage; Title: METHOD AND APPARATUS FOR TREATMENT OF GLAUCOMA
<i>QMB</i>	15	U.S. Patent Publication No. US 2002/0072673 A1; publication date 06-13-2002; Inventor Yamamoto, et al; Title: TREATMENT OF OCULAR DISEASE
<i>QMB</i>	16	U.S. Patent Publication No. US 2003/0069637 A1; publication date 04-10-2003; Inventor: Lynch, et al. Title: STENT DEVICE AND METHOD FOR TREATING GLAUCOMA

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
GLAUKO.010AAPPLICATION NO.  
10/046,137INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Hosheng Tu, et al.FILING DATE  
November 8, 2002GROUP ART UNIT  
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
RLB	1	5,095,887	03-17-1992	Leon, et al.			
	2	5,346,464	09-13-1994	Camras			
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	4	5,557,453	09-17-1996	Schalz, et al.			
	5	5,601,549	02-11-1997	Miyagi			
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	7	5,836,939	11-07-1998	Negus, et al.			
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	9	5,981,598	11-09-1999	Tatton			
	10	6,059,772	05-09-2000	Hsia, et al.			
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	12	6,266,182	07-24-2001	Morita			
	13	6,268,398	07-31-2001	Ghosh, et al.			
	14	6,342,058	01-29-2002	Portney			
	15	6,375,642	04-23-2002	Grieshaber, et al.			
	16	6,450,984	09-17-2002	Lynch, et al.			
RLB	17	6,464,724	10-15-2002	Lynch, et al.			

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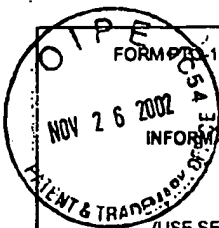
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 FORM PTO 1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. GLAUKO.010A	APPLICATION NO. 10/046,137
	APPLICANT Hosheng Tu, et al.	
	FILING DATE November 8, 2002	GROUP ART UNIT 3762

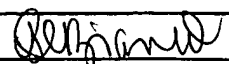
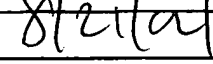
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20	Hans Hoerauf, Christopher Wirbelauer, Christian Scholz, Ralf Engelhardt, Peter Koch, Horst Laqua, and Reginald Birngruber, <i>Slit-Lamp-Adapted Optical Coherence Tomography of the Anterior Segment</i> , <u>Graefe's Arch Clin. Exp. Ophthalmol.</u> , May, 1999, Volume 238, Pages 8-18.
21	Sumita Radhakrishnam, Andrew M. Rollins, Jonathan E. Roth, S. Yazdanfar, Volker Westphal, David Bardenstein & Joseph Izatt, <i>Real-time Optical Coherence Tomography of the Anterior Segment at 1310 NM</i> , <u>Arch Ophthalmology</u> , August 2001, Vol. 119, Pages 1179-1185.
22	I. Grierson, R. C. Howes & Q. Wang, <i>Age-related Changes in the Canal of Schlemm</i> , <u>Exp. Eye Res.</u> , 1985, Vol. 39, pages 505-512
23	Luanna K. Putney, Cecile Rose T. Vibat, & Martha E. O'Donnell, <i>Intracellular C1 Regulates Na-K-C1 Cotransport Activity in Human Trabecular Meshwork Cells</i> , <u>1999 American Physiological Society</u> , September 1999, Pages C-373 through C-383
24	Edited by Kevin Strange, <i>Cellular and Molecular Physiology of Cell Volume Regulation</i> , <u>Library of Congress Cataloging in-Publication Data</u> , CRC Press, Inc., pages 312-321
25	William Tatton, Ruth M.E. Chalmers-Redman, Ajay Sud, Steven M. Podos, & Thomas Mittag, <i>Maintaining Mitochondrial Membrane Impermeability: An Opportunity for New Therapy in Glaucoma?</i> , <u>Survey of Ophthalmology</u> , Volume 45, Supplement 3, May 2001, Pages S-277 through S-283.
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27	Grune & Stratton, Harcourt Brace Jovanovich Publishers, edited by J.E. Cairns, <u>Glaucoma, Volume 1, Chapter 14, Anatomy of the Aqueous Outflow Channels</u> , by Johannes W. Rohen, pages 277-296.
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30	M. A. Johnstone, R. Stegmann & B.A. Smit, <u>American Glaucoma Society, 12th Annual Meeting, Cylindrical Tubular Structures Spanning from Trabecular Meshwork Across SC: Laboratory Studies with SEM, TEM &amp; Tracers Correlated with Clinical Findings.</u> , page 39
31	W. G. Tatton, <i>Apoptotic Mechanisms in Neurodegeneration: Possible Relevance to Glaucoma</i> , <u>European Journal of Ophthalmology</u> 1999, Jan-March, Volume 9, Supplement 1, Pages S-22 through S-29

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GLAUKO.010A	APPLICATION NO. 10/048,137
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Hosheng Tu, et al.	
		FILING DATE November 8, 2001	GROUP ART UNIT 3738 3762

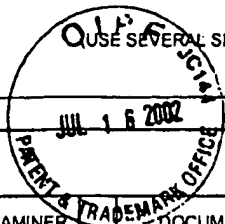
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SLB	RE 35,390	12-03-1996	Smith			
	4,037,604	07-26-1977	Newkirk			
	4,175,563	11-27-1979	Arenberg, et al.			
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	4,554,918	11-26-1985	White			
	4,604,087	08-05-1986	Joseph			
	4,632,842	12-30-1986	Karwoski, et al.			
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	4,846,172	07-11-1989	Berlin			
	4,886,488	12-12-1989	White			
	4,900,300	02-13-1990	Lee			
	4,936,825	06-26-1990	Ungerleider			
	4,946,436	08-07-1990	Smith			
	4,968,296	11-08-1990	Ritch, et al.			
SLB	5,041,081	08-20-1991	Odrich			
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	5,171,213	12-15-1992	Price, Jr.			
	5,178,604	01-12-1003	Baerveldt, et al.			
	5,180,362	01-19-1993	Worst			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Hosheng Tu, et al.	
		FILING DATE November 8, 2001	GROUP ART UNIT 3762



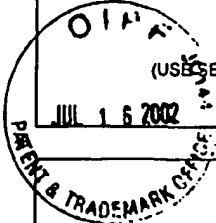
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SJB	5,300,020	04-05-1994	L'Esperance, Jr.			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Hosheng Tu, et al.	
JUL 16 2002		FILING DATE November 8, 2001	GROUP ART UNIT 3738 3762



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	5,893,837	04-13-1999	Eagles, et al.			
	5,968,058	10-19-1999	Richter, et al.			
	6,004,302	12-12-1999	Brierley			
	6,007,510	12-28-1999	Nigam			
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	6,030,434	03-07-2000	Borghi			
	6,045,557	04-04-2000	White, et al.			
	6,050,970	04-18-2000	Baevelde			
	6,059,812	05-09-2000	Clerc, et al.			
	6,071,286	06-06-2000	Mawad			
	6,174,305	01-18-2001	Mikus, et al.			
	6,231,597	05-15-2001	Deem, et al.			
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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801	WO 89/00869	02-09-1989	PCT				
	WO 98/30181	07-16-1998	PCT				
	WO 99/26567	06-03-1999	PCT				
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801	WO 01/50943	07-19-2001	PCT				

EXAMINER 801	DATE CONSIDERED 8/21/02
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<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>USE SEVERAL SHEETS IF NECESSARY)</p>		APPLICANT Hosheng Tu, et al.	
		FILING DATE November 8, 2001	GROUP ART UNIT 3738 3762

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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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QUB	EP 0898 947 A2	03-03-1999	EUROPEAN				

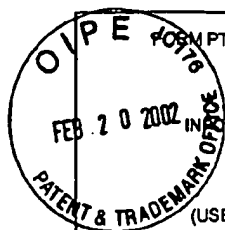
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
QUB	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD and Gunter K. Krieglstein, MD, <i>Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a new Surgical Technique in Advanced Chronic Open-Angle Glaucoma</i> , <u>American Journal of Ophthalmology</u> , May 1999, pages 505-510
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QUB	Grieshaber, et al., <i>Method and Device to Improve Aqueous Humor Drainage in an Eye</i> , January 1, 2002, Pub. No. US 2002/0013546 A1

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
GLAUKO.010AAPPLICATION NO.  
10/048,137INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Hosheng Tu, et al.FILING DATE  
November 8, 2001GROUP ART UNIT  
Unknown

3762

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
JLM ↓ SJP	AU 200072059 A1	12-06-2000	Australia				
	EP 1 114 627 A1	11-30-2000	Europe				
	WO 00/64389	04-26-2000	PCT				
	WO 00/64390	04-26-2000	PCT				
	WO 00/64391	04-26-2000	PCT				
	WO 00/64393	04-26-2000	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
JLM ↓ SJP	Detlev Spiegel, 7 Chirurgische Glaukomtherapie, pages 79-88

H:\DOCS\JZH\ZH-2924.DOC/dmg  
021302

EXAMINER	DATE CONSIDERED
JLM	8/1/01

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